

# DeepSeek R1: Reasoning via RL<sup>1</sup>

\$6M Is All You Need?

J. Setpal

January 30, 2025



**MACHINE LEARNING  
@ PURDUE**

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<sup>1</sup>Guo, et. al. [Jan 2025]

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Discussion is awesome, restating the obvious is good practice and boosts clarity for everyone.

I probably get some things wrong, and definitely can't answer every question. Idea is to work through it together.

# Outline

- ① RL Review
- ② Training Details
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One issue with this is  $A_i$ , which is computed using the **Generalized Advantage Estimator**<sup>2</sup> –

1. Estimates long-range trajectory rewards.
2. However, requires a neural reward model which is expensive to train and can be unstable.

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# RLHF Synopsis (1/2)

We'll review the RLHF pipeline per Zeiger et al. It has 3-primary phases:

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- a. Rewards are normalized over  $x$  to motivate lower variance.
- b.  $r_\phi$  is  $\pi_{SFT}$  with the final linear layer returning the scalar reward.

3. **RL Fine-Tuning:** Finally, we use  $r_\phi$  to fine-tune  $\pi_{SFT}$ , with the following objectives:
  - a.  $r_\phi$  should be maximized. **Assumption:**  $r^* \approx r_\phi$ .



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Mathematically, RLHF posits the following optimization problem:

$$\max_{\pi_\theta} \mathbb{E}_{x \sim \mathcal{D}, y \sim \pi_\theta(y|x)} (r_\phi(x, y)) - \beta \mathbb{D}_{KL}[\pi_\theta(y|x) \parallel \pi_{SFT}(y|x)] \quad (5)$$

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This is equivalent to the reward function:

$$r(x, y) = r_\phi(x, y) - \beta(\log \pi_\theta(y|x)) - \log(\pi_{SFT}(y|x)) \quad (6)$$

Which is maximized using **Proximal Policy Optimization**.

For each question  $q$ , GRPO samples outputs  $\{o_1, \dots, o_G\}$  with the training objective being to maximize (1):

$$\mathcal{J}_{GRPO}(\theta) := \mathbb{E}[q \sim P(Q), \{o_i\}_{i=1}^G \sim \pi_{\theta_{old}}(O|q)]$$

$$\frac{1}{G} \sum_{i=1}^G \left( \min \left( \frac{\pi_{\theta_{old}}(o_i|q)}{\pi_{\theta}(o_i|q)} A_i, \text{clip} \left( \frac{\pi_{\theta_{old}}(o_i|q)}{\pi_{\theta}(o_i|q)}, 1 \pm \varepsilon \right) A_i \right) + \beta D_{KL}(\pi_{\theta} || \pi_{\text{ref}}) \right) \quad (7)$$

$$D_{KL}(\pi_{\theta} || \pi_{\text{ref}}) = \frac{\pi_{\text{ref}}(o_i|q)}{\pi_{\theta}(o_i|q)} - \log \frac{\pi_{\text{ref}}(o_i|q)}{\pi_{\theta}(o_i|q)} - 1 \quad (8)$$

$$A_i = \frac{r_i - \bar{r}}{\sigma_{std}(r)}; \quad r \in \{r_1, \dots, r_G\} \quad (9)$$

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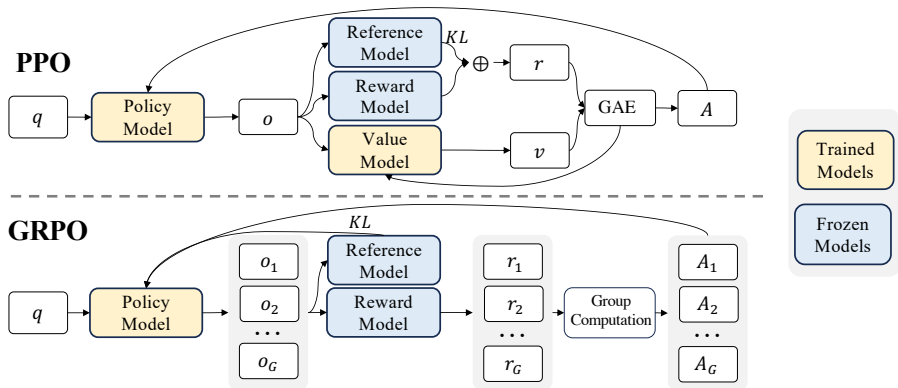
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# Approach Overview

The training process can largely be broken down into three stages:

1. **RL on a base model** – gets us DeepSeek-R1-Zero.
2. **RL+SFT on a checkpoint** – gets us DeepSeek-R1.
3. **Distillation** – gets us DeepSeek-R1-Distill-`<model-name>`.

We'll discuss each of these in detail next.

# Less is More Philosophy

A core finding from Less is More for Alignment (LIMA)<sup>3</sup> – a small set of *synthetic* examples encourages better generalization.

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This, combined with **carefully selected data** are key to the incredible benchmark performance.

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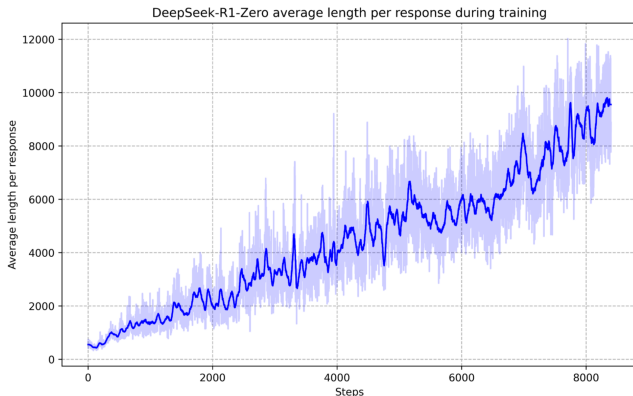
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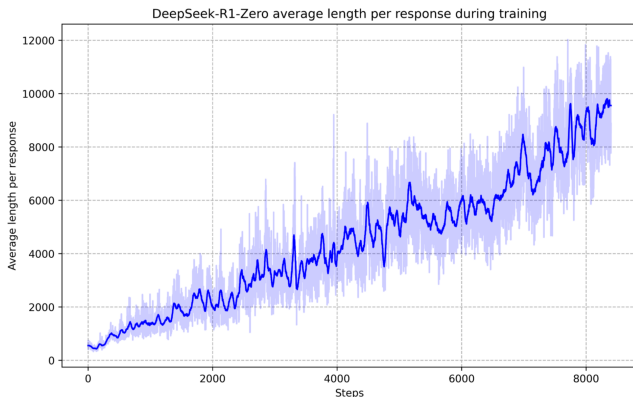
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Thinking more, and thinking anthropomorphically as emergent properties.

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## Aside

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Solution? **Cold Start.** Use SFT *briefly*, to encourage approaching problems consistently.

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3. Manually refining DeepSeek-R1-Zero outputs to remove language mixing, fixing readability and general response refinement.

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## Quiet Part Out Loud

Probably was used to filter out Tiananmen Square Massacre information, whatever additional censorship they desire.

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# Distillation

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## Opinion

I disagree, they could've used RL to fine-tune DeepSeek-V3-Base with RL-checkpointed data but chose SFT.

# What Didn't Work & Why

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## Aside

Approaches do exist that combine these two and exhibit strong performance in specific specialized domains.<sup>a</sup><sup>b</sup>

<sup>a</sup><https://arxiv.org/abs/2406.03816>

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3. It doesn't work well with few-shot prompting.

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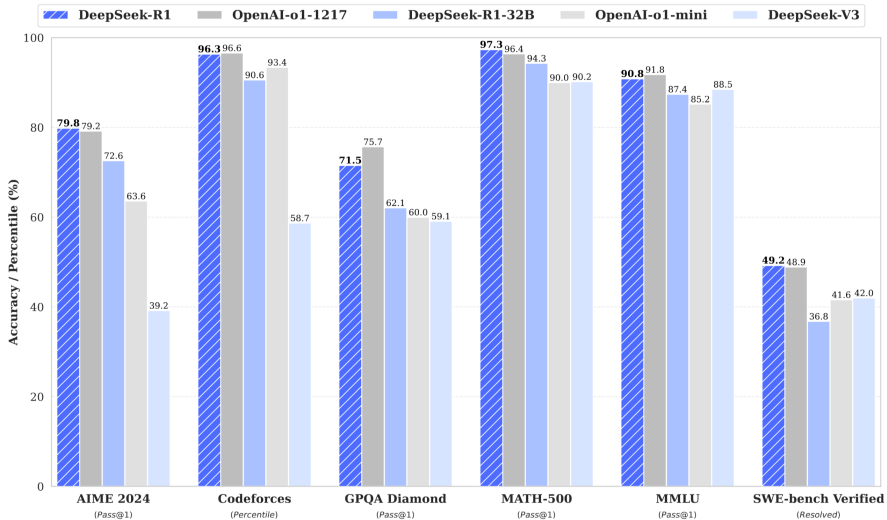
1. Their reasoning focused approach triggers some catastrophic forgetting.
2. It reasons in English / Chinese even when query language is neither.
3. It doesn't work well with few-shot prompting.
4. It doesn't work well for SWE tasks.

# Outline

- ① RL Review
- ② Training Details
- ③ Performance Evals



# Reasoning



[Refer to paper directly]

# Thank you!

Have an awesome rest of your day!

**Slides:** <https://jinen.setpal.net/slides/dsr1.pdf>